

Criminal Case Cover Sheet

U.S. District Court - District of Massachusetts

Place of Offense: _____ Category No. II Investigating Agency FBICity Bedford Related Case Information:

County Middlesex Superseding Ind./ Inf. _____ Case No. _____
 Same Defendant _____ New Defendant X
 Magistrate Judge Case Number 18-MJ-6268-MPK
 Search Warrant Case Number See add'l information
 R 20/R 40 from District of _____

Defendant Information:

Defendant Name Roger Knox Juvenile: ☐ Yes ☒ No
 Is this person an attorney and/or a member of any state/federal bar: ☐ Yes ☒ No
 Alias Name Rocket
 Address (City & State) Sallanches, France
 Birth date (Yr only): 1970 SSN (last4#): N/A Sex M Race: White Nationality: British

Defense Counsel if known: William Connolly, Esq. Address 20 Park Plaza Suite 1000Bar Number BBO 634501 Boston MA 02116


U.S. Attorney Information:

AUSA Eric S. Rosen Bar Number if applicable NY4412326Interpreter: ☐ Yes ☒ No List language and/or dialect: _____Victims: ☐ Yes ☒ No If yes, are there multiple crime victims under 18 USC§3771(d)(2) ☐ Yes ☒ NoMatter to be SEALED: ☐ Yes ☒ No
☐ Warrant Requested ☐ Regular Process ☒ In Custody

Location Status:

Arrest Date 10/01/2018☒ Already in Federal Custody as of 10/03/2018 in Plymouth County Jail☐ Already in State Custody at _____ ☐ Serving Sentence ☐ Awaiting Trial☐ On Pretrial Release: Ordered by: _____ on _____Charging Document: ☐ Complaint ☐ Information ☒ IndictmentTotal # of Counts: ☐ Petty _____ ☐ Misdemeanor _____ ☒ Felony 2

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☒ I hereby certify that the case numbers of any prior proceedings before a Magistrate Judge are accurately set forth above.Date: 10/23/2018Signature of AUSA: 

District Court Case Number (To be filled in by deputy clerk): _____

Name of Defendant ROGER KNOX

U.S.C. Citations

	<u>Index Key/Code</u>	<u>Description of Offense Charged</u>	<u>Count Numbers</u>
Set 1	<u>15 USC 78j(b), 78ff</u>	<u>Securities Fraud</u>	<u>1</u>
Set 2	<u>18 USC 371</u>	<u>Conspiracy to commit securities fraud</u>	<u>2</u>
Set 3	_____	_____	_____
Set 4	_____	_____	_____
Set 5	_____	_____	_____
Set 6	_____	_____	_____
Set 7	_____	_____	_____
Set 8	_____	_____	_____
Set 9	_____	_____	_____
Set 10	_____	_____	_____
Set 11	_____	_____	_____
Set 12	_____	_____	_____
Set 13	_____	_____	_____
Set 14	_____	_____	_____
Set 15	_____	_____	_____

ADDITIONAL INFORMATION: 17-6344-MPK, 17-6345-MPK, 18-6009-MPK, 18-7228-JCB, 18-7229-JCB